



Docket No. 56677 (70551)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Yasuda, et al.

U.S.S.N.: Not Yet Assigned

FILED: Herewith

FOR: Electronic Component And Method And Structure For Mounting
Semiconductor Device

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope as First Class Mail addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

November 8, 2001
Date

By: Annemarie Serrecchia
Annemarie Serrecchia

**INFORMATION DISCLOSURE STATEMENT
(SUBMISSION AFTER FILING OF AN APPLICATION
BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE)**

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

a. X Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

b. Each of the patents, publications or other information listed on the attached PTO-1449 was previously cited by or submitted to the USPTO in connection with U.S.S.N.: _____, to which the subject application claims the benefit of the earlier filing date. As such, a copy of each is not included herewith pursuant to 37 C.F.R. § 1.98(d).

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

a. Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).

b. X A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:

- English translation for reference(s) _____.
- X English abstract for reference(s) B1, B2, B3 _____.
- English translation of search report/ official communication.
- Copy of PCT/EPO Search Report.

c. The following additional information is provided for the Examiner's consideration:

- Copy of EPO Search Report.
- Copy of PCT Search Report.
- Copy of Official Communication from the _____ Patent Office.
- English translation of search report/ official communication.
- Copy of _____.

FEES

IV. **THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)**
(check one box)

- a. X within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. _____ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. _____ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e)below, or, if no certification has been made, charge our deposit account a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).

V. **THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):**
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. _____ No certification; therefore, a fee in the amount of \$240.00 is required by 37 C.F.R. § 1.17(p).
or
- b. _____ See the certification below. No fee is required.

VI. **CERTIFICATION UNDER 37 C.F.R. § 1.97(e)** (check only one box)

The undersigned hereby certifies that

- a. _____ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. _____ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making

reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

c.

Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VII. FEE PAYMENT (check one)

 Enclosed please find a check in the amount of \$240.00 for the above-indicated fee.

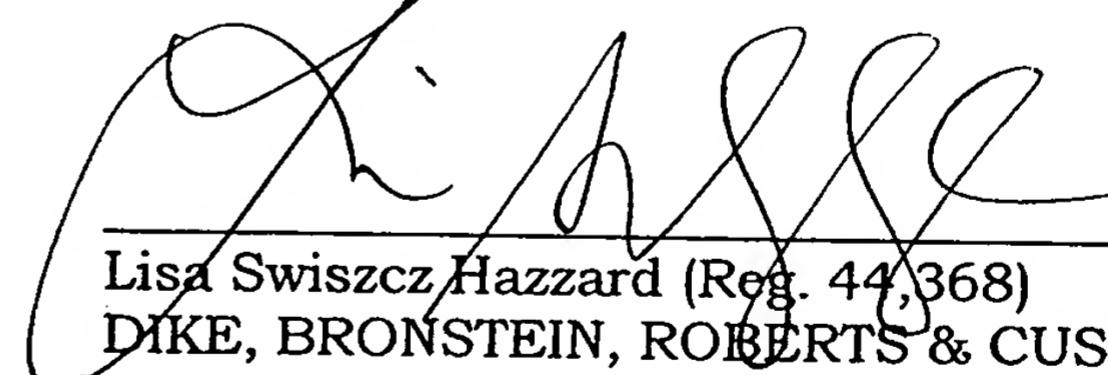
 Please charge Deposit Account No. 04-1105 in the amount of \$240.00 for the above-indicated fee.

X

No fee is required.

If the Examiner has any questions concerning the IDS, he/she is requested to contact the undersigned. If it is determined that the IDS has been filed under the wrong rule, the PTO is requested to consider the IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. 04-1105.

Nov. 8, 2001
Date


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FORM PTO-1449	ATTY DOCKET NO.	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT	56677	Not Yet Assigned
<i>DEC 01 2003 USPTO MARK OFFICE PATENT</i>	APPLICANT(S)	Yasuda, et al.
	FILING DATE	Herewith
		GROUP NO. Not Yet Assigned

UNITED STATES PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	B1	10-173006	26/6/98	Japan			Abstract
	B2	7-45664	14/2/95	Japan			Abstract
	B3	8-213400	20/8/96	Japan			Abstract

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

EXAMINER:

DATE:



LIST OF DOCUMENTS CITED BY APPLICANT

U.S. PATENT DOCUMENTS						
A1						
A2						
A3						
A4						
A5						
FOREIGN PATENT DOCUMENTS						
	COUNTRY	No.	ENGLISH ABSTRACT		COMMENTS OR TRANSLATION	
			YES	NO	YES	NO
B1	Japan	10-173006	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>
B2	Japan	7-45664	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>
B3	Japan	8-213400	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>
B4						
B5						
B6						
B7						
B8						
B9						
B10						
OTHER PRIOR ART						
C1						
C2						
C3						
C4						
C5						

REMARKS: Discussion has been made in the specification concerning the above-described document(s) identified as

B1, B2 and B3